# Chapter 1 Sorting



#### **Additional Notes:**

- 1. All comparison-based sorting algorithms have a lower-bound time complexity of  $\Omega(N \log_2 N)$ .
- 2. Non-comparison-based sorting algorithms achieved linear time complexity with the presence of certain assumptions.

# **Bubble Sort**

#### **Algorithm Outline:**

- 1. Compare a pair of adjacent items  $(a, b)$ .
- 2. Swap that pair if the items are out of order (eg. when  $a > b$ ).
- 3. Repeat steps 1 and 2 until the end of array (the last pair is the  $(N-2)$ -th and  $(N-1)$ -th items due to 0-based indexing).
- 4. By now, the largest item will be at the last position. Reduce N by 1 and repeat Step 1 until  $N = 1$ .
- 5. Optimize by implementing a check where the sorting will be terminated early if no swapping is done.

```
void BubbleSort(int arr[], int size) {
     int temp;
    bool swapEncountered;
   for (int limit = size-2; limit >= \theta; limit--) {
        swapEncountered = false;
        for (int i=0; i<=limit; i++) {
           if (arr[i] > arr[i+1]) {
                temp = arr[i];arr[i] = arr[i+1];
                arr[i+1] = temp; swapEncountered = true;
 }
         }
         if(!swapEncountered){
             break;
         }
     }
}
```
#### **Insertion Sort**

#### **Algorithm Outline:**

- 1. Start with item X, in the range of  $[L...N-1]$ .
- 2. Check for the insertion point of X (eg. between N and M where  $N < X$  and  $M > X$ ) and insert X.
- 3. Increase the lower bound L by 1 and repeat steps 1 and 2 until  $L = N 1$ .

```
void InsertionSort(int arr[], int size) {
    int temp;
   for (int i = 1; i < size; i++) { // 0(N)temp = arr[i]; // temp is the item to be inserted
        for (int j = i-1; j \ge 0 & arr[j] > temp; j = -) // can be fast or slow
           arr[j+1] = arr[j]; // make a place for temp
       arr[i+1] = temp; // this is the insertion point
     }
}
```
#### **Selection Sort**

#### **Algorithm Outline:**

- 1. Find the position of the smallest item X, in the range of  $[L...N-1]$ .
- 2. Swap *X* with the *L*-th item.
- 3. Increase the lower-bound L by 1 and repeat step 1 and 2 until  $L = N 2$ .

```
void SelectionSort(int arr[], int size) {
    int min_index, temp;
   for (int start = 0; start < size-1; start++) {
       min index = start;
       for (int i = start+1; i < size; i++){
            if (arr[i] < arr[min_index]) {
           min\_index = i; }
        }
       temp = arr[start];arr[start] = arr[min\_index];arr[min\_index] = temp; }
}
```
#### **Merge Sort**

#### **Algorithm Outline:**

- 1. Merge each pair of individual element (which is by default, sorted) into sorted arrays of 2 elements.
- 2. Merge each pair of sorted arrays of 2 elements into sorted arrays of 4 elements.
- 3. Repeat the process.
- 4. Merge 2 sorted arrays of  $\frac{N}{2}$  elements to obtain a fully sorted array of N elements.

```
void merge(int a[], int low, int mid, int high) {
   // subarray1 = a[low..mid], subarray2 = a[mid+1..high], both sorted
    int N = hint N = high-low+1;
     int b[N];
   int left = low, right = mid+1, bIdx = \theta;
    while (left \leq mid && right \leq high) // the merging
        b[bIdx++] = (a[left] \leq a[right]) ? a[left++] : a[right++];
   while (left \le mid)b[bIdx++] = a[left++]; // left over, if anywhile (right \le high)
        b[bIdx++] = a[right++]; // leftover, if any
   for (int k = 0; k < N; k++)
        a[low+k] = b[k]; // copy back}
void MergeSort(int a[], int low, int high) {
    // the array to be sorted is a[low..high]
   if (low < high) { // base case: low >= high (0 or 1 item)
        int mid = (low+high) / 2;
        MergeSort(a, low, mid ); // divide into two halves
        MergeSort(a, mid+1, high); // then recursively sort them
         merge(a, low, mid, high); // conquer: the merge routine
     }
}
```
#### **Additional Notes:**

- 1. The actual execution of MergeSort()does not split to two subarrays level by level, but it recursively sortsthe left subarray first before dealing with the right subarray.
- 2. The number of times MergeSort() is called is log N times and in each call of MergeSort(), there are N comparisons made. Hence, the time complexity is  $O(N \log N)$ .
- 3. Merge Sort guarantees its  $O(N \log N)$  performance regardless of the original ordering of the input.
- 4. Merge Sort however has a space complexity of  $O(N)$  i.e. not memory efficient.

#### **Quick Sort**

### **Algorithm Outline:**

- 1. Choose an item  $p$  (known as the pivot).
- 2. Then partition the items of  $a[i..j]$  into three parts:  $a[i..m 1], a[m],$  and  $a[m + 1..j]$ :
	- a.  $a[i.. m 1]$  (possibly empty) contains items that are smaller than  $p$ .
	- b.  $a[m]$  is the pivot p, i.e. index m is the correct position for p in the sorted order of array a.
	- c.  $a[m + 1.. j]$  (possibly empty) contains items that are greater than or equal to p.
- 3. Recursively sort the two parts.

```
int partition(int arr[], int i, int j) {
    int p = arr[i]; // p is the pivot
    int m = 1: // S1 and S2 are initially empty
    for (int k = i+1; k \leq j; k++) { // explore the unknown region
        if \text{arr}[k] < p {
            m++:
             swap(arr[k], arr[m]); // C++ STL algorithm std::swap
        \} // notice that we do nothing in case 1: a[k] >= p
     }
    swap(arr[i], arr[m]); // final step, swap pivot with arr[m]
     return m; // return the index of pivot, to be used by Quick Sort
}
void quickSort(int arr[], int low, int high) {
    if (low \lt high) {
        int pivotIdx = partition(arr, low, high); // O(N)// a[low..high] \sim a[low..pivotIdx-1], pivot, a[pivotIdx+1..high]
         quickSort(arr, low, pivotIdx-1); // recursively sort left subarray
         // a[pivotIdx] = pivot is already sorted after partition
         quickSort(arr, pivotIdx+1, high); // then sort right subarray
     }
}
```
#### **Additional Notes:**

1. Partitioning function has a time complexity of  $O(N)$ .

# **Counting Sort:**

**Assumption:** Items to be sorted are integers with small range.

#### **Algorithm Outline:**

- 1. Count the frequency of occurrence of each integer (in that small range).
- 2. Loop through that small range to output the items in sorted order.

#### **Additional Notes:**

- 1. The time complexity is  $O(N)$  to count the frequencies and  $O(N + k)$  to print out the output in sorted order where k is the range of the input integers.
- 2. Counting Sort is not feasible when  $k$  is relatively big due to memory limitation, since there is the need to store frequencies of those  $k$  integers.

# **Radix Sort:**

**Assumption:** Items to be sorted are integers with large range but of few digits.

#### **Algorithm Outline:**

- 1. Treat each item to be sorted as a string of  $w$  digits (pad integers that have less than w digits with leading zeroes if necessary).
- 2. From the least significant (rightmost) digit to the most significant digit (leftmost), pass through the N items and put them according to the active digit into 10 Queues (one for each digit  $[0.9]$ ).
- 3. Re-concatenate the groups again for subsequent iteration.

#### **Additional Notes:**

- 1. Radix sort is a modified counting sort to achieve the linear time complexity and preserve stability.
- 2. Time complexity is  $O(w(N + k))$  where w is the number of digits.

#### **Additional Notes:**

- 1. Algorithm in the form of  $O\left(1+\frac{1}{2}+\frac{1}{3}+\cdots+\frac{1}{N}\right)$  follows the harmonic series and thus have a time complexity of  $O(\log N)$ .
- 2 3 2. Algorithm in the form of  $O(N+\frac{N}{2}+\frac{N}{4}+\cdots+\frac{N}{N})$  follows the convergent geometric series and thus have a time complexity of  $O(2N) = O(N)$ .

# Chapter 2 Linked List

## **Basic Operators**



#### **Array Implementation**

- 1. Contiguous in memory i.e. each element is connected to one another in actual memory allocation.
- 2. Must be a compact array (array with no gap) i.e. if there are N items in the array (that has size M, where  $M \ge N$ ), then only index  $[0..N-1]$  are occupied and other indices  $[N.. M - 1]$  should remain empty.





## **Linked List**

#### **Properties:**

- 1. Non-contiguous memory i.e. each element is not connected to one another in actual memory allocation.
- 2. Elements are ordered from index 0 to index  $N-1$  by associating item i with its neighbour item  $i+1$  through a pointer.
- 3. Structure of a single vertex of Singly Linked List (SLL) resembles the following:

```
struct Vertex { // we can use either C struct or C++/Java class
    int item; // the data is stored here, an integer in this example
   Vertex* next; // this pointer tells us where is the next vertex
```
};

- 4. A head and a tail pointer pointing to  $a_0$  element and  $a_{N-1}$  element respectively.
- 5. Structure of a single vertex of Doubly Linked List (DLL) resembles the following:

struct Vertex { // we can use either C struct or C++/Java class int item:  $\frac{1}{2}$  the data is stored here, an integer in this example Vertex\* next; // this pointer tells us where is the next vertex Vertex\* prev;  $\frac{1}{2}$  this pointer tells us where is the previous vertex };

6. prev pointer in DLL makes it possible to move/iterate backwards at the expense of two-times memory usage requirement since each vertex records one additional pointer.





# **Linked List Variants**



# **Double-Ended Queue (Deque)**

# **Properties:**

1. Protected Doubly Linked List which elements can be searched, added to or removed only from either the head or tail.





# **Time Complexity of ADT Operations**

# **Additional Notes:**

- 1. The above time complexities of algorithms for ADTs (except for DLL) assumes the implementation of using a Singly Linked List.
- 2. Actual time complexity for certain algorithms for each ADT may differ in C++ STL implementation as the container type used for the ADTs are unlikely to be Singly Linked List (i.e. may implement the ADTs using container type std::vector or std::deque by default).

# **C++ ADT STL Implementation**



# Chapter 3 Binary Heap

#### **Properties:**

- 1. Binary (Max) Heap: A complete binary tree that maintains the Max Heap property.
- 2. Complete Binary Trees: Every level in the binary tree, except possibly the last/lowest level, is completely filled, and all vertices in the last level are as far left as possible.
- 3. Binary Max Heap Property: The parent of each vertex except the root contains value greater than the value of that vertex.
- 4. For a Binary Heap of N elements, the height of its complete binary tree will not be taller than  $O(\log N)$ .
- 5. Binary Heap is a possible data structure to model an efficient Priority Queue ADT.

## **Priority Queue**

- 1. Each element has a "priority" and an element with higher priority is served before an element with lower priority.
- 2. Ties are broken with standard First-In First-Out rule as with normal Queue.
- 3. Array and Linked List implementations will lead to slow enqueue() and dequeue() i.e.  $O(N)$  constantly searching for the max which is  $O(N)$



# **Compact-Based Array**



A complete binary tree can be stored efficiently as a compact array A as there is no gap between vertices of a complete binary tree/elements of a compact array. To simplify the navigation operations below, 1-based array is used. The above diagram displays the index of each vertex as a red label below each vertex. As such, basic binary tree traversal operations with simple index manipulations with help of bit shift manipulation.



# **Binary Heaps Operators**



#### **Additional Notes:**

- 1. Maximum number of swaps between heap element = **max(left, right) + 1**
- 2. Minimum number of swaps between heap element = **0**
- 3. Maximum number of comparisons between heap element = **max(left, right) + number of direct children**
- 4. Minimum number of comparisons between heap element = **N – 1**

# Chapter 4 Hash Tables

# **Basic Operators**



# **Direct Addressing Table**

#### **Properties:**

- 1. For integer keys that are small  $[0 to M 1]$ .
- 2. All Table ADT Operations are  $O(1)$ .
- 3. An Boolean array of size  $M$  can be implemented as follow:



4. It is possible to use satellite data (such as Strings) instead of Boolean variables to record existence of keys.

# **Limitations:**

- 1. Keys must be easily mapped to non-negative integer values.
- 2. Range of keys must be small or memory usage will be large.
- 3. Keys must be dense (i.e. not many gaps in the key values) or DAT will contain too many empty cells.

# **Hashing**

#### **Properties:**

- 1. Able to map non-integer keys to integer keys.
- 2. Able to map large integers to smaller integers.
- 3. Influence the density or load factor  $\alpha = \frac{N}{M}$  of hash table where N is the number of keys and M is the size of Hash Table.
- 4. A hash function of  $h(v)$ =v%M where *M* is the size of the hash table can be implemented to hash operators as follow:



5. It is also possible to use satellite data, which has the following implementation for hash operators:



# **Hash Function**

- 1. A good hash function has the following desirable properties:
	- a. Fast to compute i.e.  $O(1)$ .
	- b. Uses as minimum Hash Table of size  $M$  as possible.
	- c. Scatter the keys into different base addresses as possible.
	- d. Experience minimum collisions as possible.
- 2. Perfect hash function has the following properties:
	- a. One-to-one mapping between keys and hash values i.e. no collisions at all.
	- b. Table size is the same as the same as the number of keywords supplied.

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- 3. Best implementation for hashing of integer is  $h(y) = v$ %M where v is the item to be mapped and M is the size of Hash Table (number of slots). M should also be a prime number to reduce the number of collisions.
- 4. Best implementation for hashing of string is as follows:

```
int hash_function(string v) { // assumption 1: v uses ['A'. . 'Z'] only<br>int sum = 0; // assumption 2: v is a short string
                                        // assumption 2: v is a short string
     for (auto &c : v) // for each character c in v
          sum = ((sum*26)*M + (c-'A'+1))*M; // M is table size
      return sum;
}
```
#### **Additional Notes:**

- 1. Hashing of floating-point variable types should be avoided due to the precision of floating-point variables, which may result in different keys being generated for the same value.
- 2. Size of Hash Table, M, should be a prime number to reduce the number of common factors and common multiples of M, thereby reducing collisions.
- 3. In C++11, it is only possible to hash integer, floating-point and string type variables. Declarations like unordered set<pair<int, int>> are undefined.

#### **Collision Resolution**

#### **Open Addressing**

**Outline:** All hashed keys are located in a single array. The hash code of a key gives its base address. Collision is resolved by checking/probing multiple alternative addresses (hence the name open) in the table based on a certain rule.

#### **Desirable Open Addressing Properties:**

- 1. Always find an empty slot if it exists.
- 2. Minimize clustering.
- 3. Give different probe sequences when 2 different keys collide.
- 4. Fast time-complexity of  $O(1)$ .

# **Techniques:**



 $M = HT.length = current hash table size$  $base = (key\% HT.length)$ 

 $step = current$  probing step

 $\label{eq:1} secondary = smaller_{prime} - key \% smaller_{prime}$ 



#### **Linear Probing Technique:**

- 1. Linear Probing collision resolution technique involves scanning forwards one index at a time for the next empty/deleted slot (wrapping around when necessary) whenever there is a collision.
- 2. Linear Probing can create large primary clusters (a cluster is a collection of consecutive occupied slots; a cluster that covers the base address of a key is called the primary cluster of the key) that will increase the running time of search(v)/insert(v)/remove(v) operations beyond O(1).

#### **Quadratic Probing Technique:**

- 1. Quadratic Probing collision resolution technique involves scanning forward index that increases quadratically for the next empty/deleted slot (wrapping around if necessary) whenever there is a collision.
- 2. Quadratic Probing can create secondary clusters (clusters that are formed along the path of probing as a result of using the same pattern in probing by all keys). If two distinct keys have the same base address, their Quadratic Probing sequences are going to be the same indexes
- 3. Probing might also be in an endless cycle unless  $\alpha < 0.5$  and M is a prime number:

#### Proof by Contradiction:

Let x and y be 2 quadratic probing steps where  $x \neq y$  and x and y yield the same address modulo M

 $h(v) + x * x = h(v) + v * v \mod M$ ;

 $x * x = y * y \mod M$  // strike out h(v) from both sides)

 $x * x - y * y = 0$  mod M // move  $y * y$  to LHS

 $(x - y) * (x + y) = 0$  mod M // rearrange the formula

Now, either (x-y) or (x+y) has to equal to 0;

Since  $x := y$ , then  $(x-y)$  cannot be 0.

As  $0 \le x \le y \le (M/2)$  and M is a prime > 3 (an odd integer), then  $(x+y)$  also cannot be 0 mod M.

Contradiction! Hence for the first M/2 quadratic probing steps cannot yield he same address modulo M (if we set M to be a prime number greater than 3).

4. However, secondary clustering in Quadratic Probing is not as bad as primary clustering in Linear Probing as a good hash function should theoretically disperse the keys into different base addresses ∈  $[0..M-1]$  in the first place.

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#### **Double Hashing Technique:**

- 1. Double Hashing collision resolution technique involves scanning forward index that base on a second hash function,  $h2(v)$  for the next empty/deleted slot (wrapping around if necessary) whenever there is a collision.
- 2. Usually (for Integer keys),  $h(2v) = M' v\%M'$  where M' is a smaller prime than M. This makes  $h2(v) \in [1..M]$ , which is diverse enough to avoid secondary clustering.

#### **Closed Addressing**

**Outline:** Hash Table looks like an Adjacency List (a graph data structure). The hash code of a key gives its fixed/closed base address. Collision is resolved by appending the collided keys inside a (Doubly) Linked List identified by the base address.

#### **Separate Chaining Technique:**

- 1. Using M copies of auxiliary data structures (usually Doubly Linked Lists) if two keys a and b both have the same hash value i, both will be appended to the (front/back) of Doubly Linked List  $i$ .
- 2. The load factor  $\alpha = \frac{N}{M}$  describes the average length of the M lists and it will determine the performance of search(v) due to the need to explore  $\alpha$  elements on average i.e. search(v) has a time-complexity of  $O(1 + \alpha)$ .
- 3. remove(v) will also have a time-complexity of  $O(1 + \alpha)$  since it requires search(v).
- 4. insert(v) has a time complexity of  $O(1)$ .
- 5. If bound  $\alpha$  to a small constant, all search(v)/insert(v)/remove(v) operations using Separate Chaining will be  $O(1)$ .

#### **Additional Notes:**

1. Open Addressing collision resolution has poor performance when there are a lot of deletions involved as compared to Closed Addressing collision resolution due to the need to travel through the DEL spaces as well.

#### **Rehashing**

Function: The performance of Hash Table degrades when the load factor  $\alpha$  gets higher. For (standard) Quadratic Probing collision resolution technique, insertions might fail when the Hash Table  $\alpha > 0.5$ . Rehashing resolves such performance issue of the Hash table.

#### **Algorithm Outline:**

- 1. Build another Hash Table about twice as big with a new hash function.
- 2. Go through all keys in the original Hash Table, recompute the new hash values, and re-insert the keys (with their satellite-data) into the new Hash Table.
- 3. Delete the original Hash Table.
- 4. A rule of thumb is to rehash when  $\alpha \geq 0.5$  if using Open Addressing and when  $\alpha > small constant close to 1.0$  if using Separate Chaining.

# Chapter 5: Binary Search Tree

#### **Properties:**

- 1. Binary tree where each vertex has only up to 2 children.
- 2. All vertices in the left subtree of a vertex must hold values smaller than its own while all vertices in the right subtree of a vertex must hold values larger than its own.
- 3. There can only be one root vertex in a BST.
- 4. Leaf vertex does not have any child and there can be more than one leaf vertex.
- 5. Vertices that are neither leaf nor root are called internal vertices.
- 6. For N elements in a BST, the lower bound height  $h > \log N$  if N elements can be inserted in perfect order so that the BST is perfectly balanced.

 $\therefore$  Minimum Height =  $\log_2 N$ 



7. For N elements in a BST, the upper bound height  $h < N$  if N elements are inserted in ascending/descending order to get skewed right/left BST.

 $\therefore$  Maximum Height =  $N-1$ 

# **Comparisons with Vector/Arrays**



# **Data Structure Types**

**Static Data Structure** are data structures that are only efficient if there is rarely or no update operations (such as insert and remove operations).

**Dynamic Data Structure** are data structures that are efficient even if there are many update operations. BST (especially Balanced BST) are in this category.

# **Query Operations**

# *These operations do not alter the BST structure.*



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# **Update Operations**

#### *These operations are likely to alter the BST structure.*



# **Additional Notes:**

1. Number of different BST =  $\frac{1}{n} \binom{2n}{n}$ 

#### **AVL Tree**

- 1. AVL Tree is an implementation of Balanced BST which height is consistently less than  $2 \times \log N$
- 2. Height(v) of each vertex (where height(v) is the number of edges on the path from vertex v down to its deepest leaf) is saved in each vertex so that each vertex's height can be accessed in O(1).
- 3. Height(v) can be computed by  $height(v) = max(v.left. height, v.right. height) + 1$  where v. left. height and v. right. height are the height of left and right children respectively.
- 4. Height(v) is only required to be computed after insert and remove operation as only the height(v) of vertices along insertion and removal path may be affected. This means that only  $h = 2 \times \log N$  vertices may change its height attribute:

```
Let N_h be the minimum number of vertices in a height-balanced AVL Tree of height h.
         For any AVL Tree of N vertices, N \ge N_h - (1)N_h = 1 + N_{h-1} + N_{h-2} (formula for minimum-size AVL tree of height h)
         N_h > 1 + 2^*N_{h-2} (as N_{h-1} > N_{h-2})
         N_h > 2*N_{h-2} (obviously)
         N_h > 4*N_{h-4} (recursive)
         N_h > 8 * N_{h-6} (another recursive step)
         ... (we can only do this h/2 times, assuming initial h is even)
         N_h > 2^{h/2*} N_0 (we reach base case)
         N_h > 2^{h/2} (as N_0 = 1) – (2)
         From (1) and (2):
         N \ge N_h > 2^{h/2}N > 2^{h/2}log_2(N) > log_2(2^{h/2}) (log<sub>2</sub> on both sides)
         log<sub>2</sub>(N) > h/2 (formula simplification)
         2 * log<sub>2</sub>(N) > h or h < 2 * log<sub>2</sub>(N)h = O(log(N)) (the final conclusion)
```
- 5. AVL Tree Invariant states that vertex *v* is said to be height balance if  $|v|$ .  $left$   $left$   $v$ .  $right$   $v$ .  $right$   $height$   $v$ .  $right$   $height$
- 6. To quickly detect if a vertex *v* is height balanced or not, a balance factor  $bf(v) = v$ . *Left. height*  $-v$ *. right. height* can be used.



- 1. RotateRight(T) can only be called if T has a left child, while RotateLeft(T) can only be called if T has a right child.
- 2. Note that Tree Rotation preserves the BST property i.e. Before rotation,  $P \leq B \leq Q$ . After rotation, notice that subtree rooted at B (if it exists) changes parent, but  $P \leq B \leq Q$  does not change.
- 3. There are only 4 possible imbalance cases as shown below:



# **AVL Tree Operations**



#### **Additional Notes:**

1. rank(V) and select(K) operations can be implemented by storing the total number of vertices in each subtree, then recursively process the each subtrees until the conditions (where vertex < V in rank(V) and total vertices < K in rank(K)) are no longer satisfied. Pseudocodes for the two implementations are as follow:

```
int rank(node, V) {<br>if (node.key == V)
                          return node.left.size + 1;
    else if (node.key > V) return rank(node.left, V)
   else return node.left.size + 1 + rank(node.right, v);
}
int select(node, K) {
    int q = node.left.size;
   if (q + 1 == K) return node.key;
   else if (q + 1 > K) return select(node.left, k);
   else return select(node.right, k - q - 1);
}
```
# Chapter 6: Graphs



#### **Trees**

- 1. Tree is a connected graph with V vertices and  $E = V 1$  edges, acyclic, and has one unique path between any pair of vertices.
- 2. Usually a Tree is defined on undirected graph.
- 3. An undirected Tree contains trivial cycles but it does not contain non-trivial cycle.
- 4. A directed Tree is acyclic.
- 5. As a Tree only have  $V 1$  edges, it is usually considered a sparse graph.
- 6. Tree with one of its vertex designated as root vertex is called a rooted Tree.



# **Other Special Graphs**





# **Additional Notes:**

- 1. For a general undirected connected simple graph of *V* vertices, the minimum number of edges is  $V-1$  (a tree) and the maximum number of edges is  $\frac{V(V-1)}{2}$  or  $C_2^V$ (a complete graph).
- 2. For a general directed graph, the maximum number of edges is  $2\mathcal{C}_2^V$  or  $P_2^V$ .

# **Graph Data Structures**





# **Operations**



# **Depth-First-Search**

#### **Algorithm Outline:**

- 1. DFS starts from a distinguished source vertex s and uses recursion (an implicit stack) to order the visitation sequence as deep as possible before backtracking.
- 2. If DFS is at a vertex u and it has X neighbours, it will pick the first neighbour  $v_1$  (usually the vertex with the lowest vertex number), recursively explore all reachable vertices from vertex  $v_1$ , and eventually backtrack to vertex u. DFS will then do the same for the other neighbours until it finishes exploring the last neighbour  $v_x$  and its reachable vertices.
- 3. DFS uses another array p[u] of size V vertices to remember the parent/predecessor/previous of each vertex u along the DFS traversal path. The predecessor of the source vertex, i.e. p[s] is set to -1 to say that the source vertex has no predecessor (as the lowest vertex number is vertex 0).
- 4. The sequence of vertices from a vertex  $u$  that is reachable from the source vertex  $s$  back to  $s$  forms the DFS spanning tree.
- 5. If the graph is cyclic, the previous 'try-all' strategy may lead DFS to run in cycle, so the basic form of DFS uses a Boolean array status [u] of size V vertices to distinguish between two states: visited and unvisited vertices. Only if vertex u is still unvisited, then DFS can visit vertex  $u$ . When DFS runs out of option, it backtrack to previous vertex  $(p[u])$  as the recursion unwinds.

- 1. Time complexity is  $O(V + E)$  because:
	- a. Each vertex is only visited once due to the fact that DFS will only recursively explore a vertex u if status [u] = unvisited i.e. an  $O(V)$  operation.
	- b. Each time a vertex is visited, all its k neighbours are explored and therefore after all vertices are visited and all E edges are examined i.e. an  $O(E)$  operation as the total number of neighbours of each vertex equals to  $E$ .
- 2. To obtain an  $O(V + E)$  time complexity, an adjacency list graph data structure should be used.

# **Breadth-First-Search**

#### **Algorithm Outline:**

- 1. BFS starts from a source vertex s but it uses a queue to order the visitation sequence as breadth as possible before going deeper.
- 2. If BFS is at a vertex u and it has X neighbours, it will explore all the X neighbours. BFS will then do the same for each of the X neighbours until it finishes exploring the last reachable vertex.
- 3. BFS uses another array p[u] of size V vertices to remember the parent/predecessor/previous of each vertex u along the BFS traversal path. The predecessor of the source vertex, i.e. p[s] is set to −1 to say that the source vertex has no predecessor (as the lowest vertex number is vertex 0).
- 4. The sequence of vertices from a vertex u that is reachable from the source vertex s back to s forms the BFS spanning tree, which is equal to its SSSP spanning tree.
- 5. If the graph is cyclic, the previous 'try-all' strategy may lead BFS to run in cycle, so the basic form of BFS uses a Boolean array status [u] of size V vertices to distinguish between two states: visited and unvisited vertices. Only if vertex  $u$  is still unvisited, then BFS can visit vertex  $u$ .

- 1. Time complexity is  $O(V + E)$  because:
	- a. Each vertex is only visited once as it can only enter the queue once i.e. an  $O(V)$  operation.
	- b. Each time a vertex is dequeued from the queue, all its  $k$  neighbours are explored and therefore all vertices are visited and all  $E$  edges are examined i.e. an  $O(E)$  operation as the total number of neighbours of each vertex equals to  $E$ .
- 2. To obtain an  $O(V + E)$  time complexity, an adjacency list graph data structure should be used.

# **DFS/BFS Applications**





# **Other Applications**



# Chapter 7: Single Source Shortest Path

**Algorithm Outline:** To find the shortest paths weights from a particular single-source vertex to all other vertices in a directed weighted graph (if such paths exist) denoted by  $\delta(s, u)$  where s is the source vertex and u is the destination vertex:

- 1. Input consists of:
	- a. A directed graph  $G(V, E)$  where V is the vertex and E is the edge
	- b. A source vertex  $s \in V$
- 2. Output consists of:
	- a. An array/vector  $D$  of size  $V$  to store the distance:
		- i. Initially  $D[u] = 0$  if  $u = s$ ; otherwise  $D[u] = \infty$  where  $\infty$  can be an extremely large value (eg. INT\_MAX) or a placeholder value (eg. -1, if no negative weights).
		- ii. D[u] decreases as shorter paths are found
		- iii.  $D[u] \ge \delta(s, u)$  throughout the execution of SSSP algorithm
		- iv.  $D[u] = \delta(s, u)$  at the end of SSSP algorithm
	- b. An array/vector  $p$  of size  $V$  to store the parent/predecessor/previous vertex
		- i.  $p[u]$  = the predecessor on best path from source s to u
		- ii.  $p[u] = NULL$
- 3. The main operation for all SSP algorithm is the relax(u, v, weight(u, v)) operation, which essentially traverses down an edge and updating the tentative shortest distance, as shown in pseudo code below:

relax(u, v, weight (u, v) if  $D[v] > D[u] + weight(u, v)$  // if shortest path can be shortened  $D[v] = D[u] + weight(u, v)$  // 'relax' this edge; update shortest path  $p[v] = u$  // update the predecessor

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#### **Properties:**

1. Shortest Paths are Simple Paths if  $G(V, E)$  has no negative weight cycle:

# Proof by Contradiction:

- 1. Suppose the shortest path  $p$  is not a simple path
- 2. Then  $p$  must contains one (or more) cycle(s) (by definition of non-simple path)
- 3. Suppose there is a cycle c in p with positive weight (e.g. green  $\rightarrow$  blue  $\rightarrow$  green on the left image)
- 4. If c is removed from  $p$ , then there will be a shorter 'shortest path' than the shortest path  $p$ .
- 5. This contradicts 1, thus  $p$  must be a simple path.
- 6. Even if c is actually a cycle with zero (0) total weight it is possible according to the assumption: no negative weight cycle (see the same green  $\rightarrow$  blue  $\rightarrow$  green), c still can be removed from  $p$  without increasing the shortest path weight of  $p$ .
- 7. In conclusion,  $p$  is a simple path (from point 5) or can always be made into a simple path (from point 6)

# **Bellman Ford's Algorithm**

#### **Algorithm Outline:**

1. Bellman Ford's Algorithm has the following pseudo code:

for  $i = 1$  to  $|V|-1$  //  $O(V)$  here, so  $O(V \times E \times 1) = O(V \times E)$ for each edge(u, v)  $E = \frac{1}{10}$  (E) here, e.g. by using an Edge List relax(u,  $v$ ,  $w(u, v)$ ) // 0(1) here

- 2. From the pseudo code, the algorithm runs in a time complexity of  $O(VE)$ .
- 3. The optimized form of Bellman Ford's Algorithm runs in  $O(KE)$  where K is the number of iterations before no further updates are done to the path distance of each vertices from the source vertex.
- 4. Bellman Ford's Algorithm ensures shortest path from source vertex to all the vertices in the graph after it terminates:



#### Proof by Induction:

- 1. Initially,  $D[v_0] = \delta(s, v_0) = 0$ , as  $v_0$  is just the source vertex s
- 2. After 1 pass through E, we have  $D[v_1] = \delta(s, v_1)$
- 3. After 2 pass through E, we have  $D[\nu_2] = \delta(s, \nu_2)$
- 4. After k pass through E, we have  $D[v_k] = \delta(s, v_k)$
- 5. When there is no negative weight cycle, the shortest path  $p$  is a simple path (see Theorem 1), thus the last iteration should be iteration  $|V| - 1$
- 6. After  $|V| 1$  pass through E, we have  $D[v_{|V|-1}] = \delta(s, v_{|V|-1})$ , regardless the ordering of edges in  $E$



5. Bellman Ford's Algorithm can be used to detect if the input graph contains at least one negative weight cycle reachable from the source vertex s by using the corollary of theorem in point 4: If at least one value D[v] fails to converge after  $|V| - 1$  passes, then there exists a negative-weight cycle reachable from the source vertex s.

# **Dijkstra's Algorithm**

#### **Algorithm Outline:**

- 1. The algorithm maintains a set S of solved vertices whose final shortest path weights have been determined. Initially  $S = \{s\}$ , the source vertex s only.
- 2. It repeatedly selects vertex u in  ${V \setminus S}$  with the minimum shortest path estimate, adds u to S, and relaxes all outgoing edges of u
- 3. This entails the use of a Priority Queue as the shortest path estimates keep changing as more edges are processed.
- 4. The choice of relaxing edges emanating from vertex with the minimum shortest path estimate first is greedy, i.e. use the "best so far.

- 1. Dijkstra's algorithm runs with a time complexity of  $O((V + E) \log V)$ :
	- a. Each vertex will only be extracted from the Priority Queue (PQ) once. As there are V vertices, this will be done maximum  $O(V)$  times. ExtractMin() operation runs in  $O(\log V)$  whether the PQ is implemented using a Binary Min Heap or using a balanced BST. Therefore this part is  $O(V \log V)$ .
	- b. Every time a vertex is processed, its neighbours are relaxed. In total, E edges are processed. By relaxing  $edge(u, v)$ , as there is a need to decrease D[v], call the  $O(\log V)$  DecreaseKey() operation in Binary Min Heap (harder to implement as C++ STL priority queue does not support this operation efficiently yet) or simply delete the old entry and then re-insert a new entry in balanced BST (which also runs in  $O(log V)$ , but this is much easier to implement). Therefore, this part is  $O(E \log V)$ .
	- c. Overall, Dijkstra's algorithm runs in  $O(V \log V + E \log V) = O((V + E) \log V)$  time.

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2. When input graph has at least one negative weight edge, Dijkstra's Algorithm can produce wrong answer. This is because even though the shortest path for the vertices that have negative edges will be updated as per normal, the neighbour vertices of the affected vertices will not have their paths updated as the affected vertices are no longer processed.



#### **Modified Dijkstra's Algorithm**

#### **Algorithm Outline:**

- 1. Key modification done is the use of Lazy Update as DecreaseKey() operation in priority queue.
	- a. Lazy Update leave the outdated/weaker/bigger-valued information in the minimum priority queue instead deleting it straight-away.
	- b. Since the items are ordered from smaller value to bigger values in the minimum priority queue, it is guaranteed that the most up-to-date (smallest) item will be encountered first.

- 1. On non-negative weighted graphs, the behaviour is exactly the same as the original Dijkstra's and has a time complexity of  $O((V + E) \log V)$ .
- 2. On graph with at least one negative weight edge but no negative weight cycle, the time complexity can be greater than  $O((V + E) \log V)$ .

# **Single Source Shortest Path Algorithms**



# **All Pair Shortest Path Algorithms**



#### **Additional Notes:**

- 1. Both Floyd-Warshall and Dijkstra's algorithm can also be used to determine  $k$ th-shortest path from  $i$  to  $j$ . This can be achieved by:
	- a. Choosing an  $edge(s, t)$ . Time complexity for this step is  $O(E)$ .
	- b. If using Floyd-Warshall algorithm with time complexity of  $O(V^3)$ :
		- i. Obtain the shortest path from  $i$  to  $s$  and from  $t$  to  $j$  using the Adjacency Matrix from the resulting Floyd-Warshall algorithm.
	- c. If using Dijkstra's algorithm with time complexity of  $O((V + E) \log V)$ .
		- i. Determine the shortest path from  $i$  to  $s$ .
		- ii. Determine the shortest path from  $t$  to  $j$  (this requires graph with reverse edges).
	- d. The total distance can then be calculated by  $distance(i, s) + weight(s, t) + distance(t, j)$ .
	- e. Ensure that distance calculated is less than distance of  $k 1$  shortest path.

#### Appendices

#### **DFS and BFS Implementation**

```
void dfs(int &vertex, vector<br/>bool> &visited, vector<vector<int>> AdjList) {
     visited[vertex] = true; // Set status of current vertex to visited
     cout << vertex << endl; // Prints current vertex
     // For all neighbours of current vertex
     for (auto &i : AdjList[vertex])
         // Check if neighbour vertex has been visited
         if (!visited[i])
              // If not visited, run dfs on neighbour vertex
              dfs(i, visited);
}
void bfs(int &source, vector<br/>bool> &visited, vector<vector<int>> AdjList) {
    queue<int> q;
    visited[source] = true; \frac{1}{2} // Set status of source vertex to visited q.push(source); \frac{1}{2} // Push source vertex into queue
                           // Push source vertex into queue
     // While there are still vertices in the queue to be processed
    while (!g.empty()) {
        int buffer = a. front();
        cout << buffer << endl; // Print current vertex to be processed \alpha, pop(): // Remove current vertex from the queue
                                  \frac{1}{2} // Remove current vertex from the queue
         // For all neighbours of current vertex
          for(auto &i : AdjList[buffer])
              // Check if neighbour vertex has been visited
              if(!visited[i]) {
                  // If not visited, set status neighbour to be visited and push neighbour into the queue
                 visited[i] = true;q.push(i);
 }
     }
}
```
# **Topological Sort Implementation (DFS Method)**

```
class Graph {
     int V; // No. of vertices'
     // Pointer to an array containing adjacency listsList
    list<int> *adj;
     // A recursive function used by topologicalSort
    void topologicalSortUtil(int v, bool visited[], stack<int> &Stack) {
         // Mark the current node as visited.
        visited[V] = true; // Recur for all the vertices adjacent to this vertex
        list<int>::iterator i;
        for (i = \text{adj}[v], \text{begin}()); i := \text{adj}[v], \text{end}(); ++i) if (!visited[*i])
                 topologicalSortUtil(*i, visited, Stack);
         // Push current vertex to stack which stores result
        Stack.push(v);
     }
public:
    Graph(int V) { // Constructor
        this-V = V:
        adj = new list<int>[V];
     }
    // function to add an edge to graph
     void addEdge(int v, int w) {
        adj[v].push back(w); // Add w to v's list.
 }
```

```
 // // The function to do Topological Sort. It uses recursive topologicalSortUtil()
    void topologicalSort() {
       stack<int> Stack;
        // Mark all the vertices as not visited
       bool *visited = new bool[V];
       for (int i = 0; i < V; i++)visited[i] = false; // Call the recursive helper function to store Topological
        // Sort starting from all vertices one by one
       for (int i = 0; i < V; i++)if (visited[i] == false) topologicalSortUtil(i, visited, Stack);
        // Print contents of stack
       while (Stack.empty() == false) {
           \text{cout} \ll \text{Stack}.top() \ll "";
            Stack.pop();
        }
    }
};
```
# **Topological Sort Implementation (BFS Method/Kahn's Algorithm)**

```
class Graph {
    int V; // No. of vertices'
    // Pointer to an array containing adjacency listsList
   list<int> *adj;
public:
    Graph(int V) { // Constructor
        this-V = V:
        adj = new list<int>[V];
     }
    // function to add an edge to graph
    void addEdge(int u, int v) {
         adj[u].push_back(v);
     }
    // prints a Topological Sort of the complete graph
    void topologicalSort() {
         // Create a vector to store indegrees of all vertices. Initialize all indegrees as 0.
        vector<int> in_degree(V, 0);
         // Traverse adjacency lists to fill indegrees of vertices. This step takes O(V+E) time
        for (int u=0; u<V; u++) {
           list<int>::iterator itr:
            for (itr = adj[u].begin(); itr != adj[u].end(); itr++)
                in degree[*itr]+;
         }
         // Create an queue and enqueue all vertices with indegree 0
         queue<int> q;
        for (int i = 0; i < V; i++)if (in_degree[i] == \theta)
                 q.push(i);
         // Initialize count of visited vertices
        int cnt = \theta;
l,
```
};

```
 // Create a vector to store result (A topological ordering of the vertices)
   vector <int> top order;
    // One by one dequeue vertices from queue and enqueue adjacents if indegree of adjacent becomes 0
   while (!q.empty()} {
        // Extract front of queue (or perform dequeue) and add it to topological order
       int u = q. front();
        q.pop();
       top order.push back(u);
        // Iterate through all its neighbouring nodes of dequeued node u and decrease their in-degree by 1
        list<int>::iterator itr;
       for (itr = adj[u].begin(); itr != adj[u].end(); itr++)
             // If in-degree becomes zero, add it to queue
            if (-i) degree[*itr] == 0)
                 q.push(*itr);
         cnt++;
     }
    // Check if there was a cycle
   if (cnt != V)
     {
        cout << "There exists a cycle in the graph\n";
         return;
     }
    // Print topological order
   for (int i=0; i<top order.size(); i++)
       cout \ll top order[i] \ll "";
    cout << endl;
 }
```
}

```
void BellmanFord() {
    int V, E, s, a, b, w;
   vector<vector<pair<int, int>>> AdjList;
   \sin >> V >> E >> s;
   AdjList.assign(V, vector<pair<int, int>>()); // assign blank vectors of pair<int, int>s to AdjList
   for (int i = 0; i < E; i++) {
       cin \gg a \gg b \gg w:
       AdjList[a].push_back(pair<int, int> (b, w));
    }
    // Bellman Ford routine
   vector<int> dist(V, INF);
   dist[s] = \theta;
   for (int i = 0; i < V - 1; i++) // relax all E edges V-1 times, overall 0(VE)<br>for (int u = 0; u < V; u++)<br>// these two loops = 0(E)for (int u = 0; u < V; u^{++})
           for (int j = 0; j < (int)AdjList[u].size(); j++) {
                pair<int, int v = AdjList[u][j]; // we can record SP spanning here if needed
            dist[v.first] = min(dist[v.first], dist[u] + v.second); \frac{1}{2} // relax
 }
    bool hasNegativeCycle = false;
   for (int u = 0; u < V; u^{++}) // one more pass to check
        for (int j = 0; j < (int)AdjList[u].size(); j++) {
           pair<int, int v = AdjList[u][j];
            if (dist[v.first] > dist[u] + v.second) // should be false
                hasNegativeCycle = true; \frac{1}{2} but if true, then negative cycle exists!
         }
    printf("Negative Cycle Exist? %s\n", hasNegativeCycle ? "Yes" : "No");
    if (!hasNegativeCycle)
       for (int i = 0; i < V; i++)printf("SSSP(%d, %d) = %d\n", s, i, dist[i]);
```
**Dijkstra's Algorithm Implementation**

}

```
void Dijkstra() {
    int n; // Number of vertices
    int s; // Source vertex
   vector<int> dist(n, INF);
   dist[s] = \theta;
   set<pair<int, int>> pq;
    pq.insert({0, s});
   for (u = 0; u < n; u++) // 0(V log V) already
        if (u := s) pq.insert({INF, u});
    while (!pq.empty()) {
        pair<int, int> front = *pq.begin(); // this is the min, 0(log V) pq.erase(pq.begin()); // erase / extract min, O(log V), twice
        d = front.first; // this value is actually the same as dist[u]
         u = front.second;
        for (auto \&v weight : AL[u]) { // for all neighbors of vertex u, 0(k)v = v weight.first;
           w = v weight.second;
           if (distrib1+w < dist[v]) { // if can relax this edge, 0(1) // update/decrease PQ value :O
                 pq.erase(pq.find({dist[v], v})); // delete the old one, O(log V) twice (reason negative edge is not allowed)
                dist[v] = dist[u]+w; // 0(1)pq.insert(\{dist[v], v\}); // delete the old one, 0(log V) }
         }
     }
```
}

```
void ModifiedDijkstra() {
```

```
 int n; // Number of vertices
    int s; // Source vertex
    // compute the shortest path values, using Dijkstra's
   vector<int> dist(n, INF);
   dist[s] = \theta;
   priority_queue<pair<int, int>, vector<pair<int, int>>, greater<pair<int, int>>> pq;
    pq.push({0, s});
   while (!pq.empty()) {
       pair<int, int> min = pq.top();
       pq.pop(); // 0(1) + 0(\log V)d = min.first;u = min \cdot second; // This prevents the degeneration of Modified Dijkstra's into a kind of Bellman Ford's
       if (dist[u] < d) continue;
        for (auto &v_weight : AL[u]) {
           v = v weight.first; w = v weight.second;
           if (dist[u]+w < dist[v]) \overline{f} // if can relax this edge
                dist[v] = dist[u]+w;pq.push({dist[v], v}); // 0(\log V), insert the new pair, with lower dist[v]
 }
        }
    }
```